

*Handwritten signature/initials*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Date Allowed: **December 21, 2004**

**OZEKI, Tetsuo et al.**

Group Art Unit: **1724**

Serial Number: **10/098,511**

Examiner: **M. O. SAVAGE**

Filed: **March 18, 2002**

**P.T.O. Confirmation No.: 4427**

**FOR: WATER PURIFIER FILTRATION PORTION, WATER PURIFIER MAIN BODY,  
AND WATER PURIFIER INCLUDING THE BOTH**

**REQUEST FOR RETURN OF FORM PTO-1449**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 3, 2005

Sir:

An Information Disclosure Statement was filed on **April 25, 2002**. A copy of this Information Disclosure Statement is attached.

It is respectfully requested that the Examiner return a copy of the Form PTO-1449 which accompanies the Information Disclosure Statement, marked to indicate that the reference listed therein has been considered by the Office.

The Examiner is requested to telephone the undersigned should he have any questions concerning this Request.

Respectfully submitted,

**ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP**

*Handwritten signature of Daniel A. Geselowitz*  
Daniel A. Geselowitz, Ph.D.  
Agent for Applicants  
Reg. No. 42,573

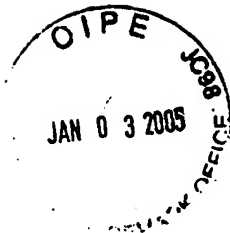
DAG/plb  
Atty. Docket No. **020257**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosure: Information Disclosure Statement filed on **April 25, 2002**  
Date Stamped Post Card (copy)



CARD NO: 10891

U.S. Patent Application      Docket No: 020257  
Serial No: 10/098,511      Filed: 03/18/02  
Patent Number:      Issued:  
Applicant(s): OZEKI, TETSUO ET AL

Papers filed herewith on: 04/25/02

Other: Information Disclosure Statement; PTO1449; 10  
References



# COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated  
in connection with the above-identified case.

DWH/NK



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tetsuo Ozeki et al

Serial No.: 10/098,511

Group Art Unit: Not Yet Assigned

Filed: March 18, 2002

Examiner: Not Yet Assigned

For: WATER PURIFIER FILTRATION PORTION, WATER PURIFIER MAIN BODY, AND  
WATER PURIFIER INCLUDING THE BOTH

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

April 25, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following filing of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

Serial No.: 10/098,511

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

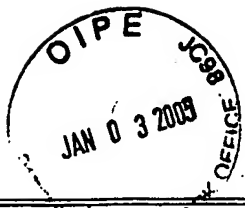
ARMSTRONG, WESTERMAN & HATTORI, LLP

A handwritten signature in black ink, appearing to read "Donald W. Hanson", written over a horizontal line.

Donald W. Hanson  
Attorney for Applicants  
Reg. No. 27,133

Atty. Docket No. 020257  
1725 K Street, N.W., Suite 1000  
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DWH/nk

Enclosures: PTO-1449, 10 references



<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Atty. Docket No. 020257	Serial No. 10/098,511
	Applicant(s): Tetsuo Ozeki et al	
	Filing Date: March 18, 2002	Group Art Unit: Not Yet Assigned

### U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	5,935,426	Giordano et al	8/10/99	210	87	
_____	AB	5,928,504	Hembre et al	7/27/99	210	88	
_____	AC	5,888,381	Primdahl et al	3/30/99	210	87	
_____	AD	RE35,667	Heiligman	11/25/97	210	266	
_____	AE	5,653,868	Yanou et al	8/5/97	210	232	
_____	AF	5,527,451	Hembree et al	6/18/97	210	88	
_____	AG	4,686,037	Lang	8/11/87	210	221.2	
_____	AH	4,623,451	Oliver	11/18/86	210	87	

### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
_____	AI	11-128911	5/18/99	JP	Yes, abstract
_____	AJ	07-116656	5/9/95	JP	Yes, abstract
_____	AK				
_____	AL				
_____	AM				

### OTHER DOCUMENTS

_____	AN	
_____	AO	

Examiner	Date Considered
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